

IPM

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant : Patrick Ladd, et al.



App. No. : 10/722,206

27299

PATENT TRADEMARK OFFICE

Filed : November 24, 2003

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

For : **METHODS AND APPARATUS FOR  
EVENT LOGGING IN AN  
INFORMATION NETWORK**

February 10, 2006

(Date)

Examiner : Unknown

Robert F. Gazdzinski, Reg. No. 39,990

Group : 2184

TRANSMITTAL LETTER

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

1. Information Disclosure Statement citing thirty (30) references (2 pages);
2. Form PTO-1449 (2 pages) enclosing five (5) non-patent references; and,
3. Return prepaid postcard.

Respectfully submitted,

GAZDZINSKI &amp; ASSOCIATES

Dated: February 10, 2006

By:

Robert F. Gazdzinski, Esq.

Registration No. 39,990

Attorney of Record

11440 West Bernardo Court, Suite 375  
San Diego, CA 92127

Telephone: (858) 675-1670

Facsimile: (858) 675-1674



TWAR.001A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Patrick Ladd, et al.



App. No. : 10/722,206

27299

Filed : November 24, 2003

PATENT TRADEMARK OFFICE

For : **METHODS AND APPARATUS FOR  
EVENT LOGGING IN AN  
INFORMATION NETWORK**

Examiner : Unknown

Group : 2184

---

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is Form PTO-1449 listing thirty (30) references. Pursuant to 37 CFR §1.98(a)(2)(ii), Applicant has not provided herewith copies of the U.S. Patent or Patent Publication References (Items Nos. 1-25). Applicant provides herewith copies of the Non-Patent References (Item Nos. 26-30) pursuant to 37 CFR §1.98(a)(2)(ii) and/or §1.98(a)(2)(iv).

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. §1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this

App. No. : 10/722,206  
Filed : November 24, 2003

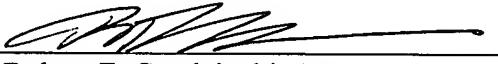
Statement, it is requested that the Commissioner charge any fees under 37 C.F.R. § 1.16 and § 1.17 to Deposit Account No. 501423.

Pursuant to 37 C.F.R. § 1.97(g) and (h), Applicant makes no representation that a search has been made or that the information cited is considered to be material to patentability. Additionally, inclusion on this list is not an admission that any of the cited documents are prior art in this application. Further, Applicant makes no representation regarding the completeness of this list or that better art does not exist.

Respectfully submitted,

GAZDZINSKI & ASSOCIATES

Dated: February 10, 2006

By:   
Robert F. Gazdzinski, Esq.  
Registration No. 39,990  
Attorney of Record  
11440 West Bernardo Court, Suite 375  
San Diego, CA 92127  
Telephone No. (858) 675-1670  
Facsimile No. (858) 675-1674

FORM PTO-1449 <b>O I P E</b> U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT FEB 13 2006 (USE SEVERAL SHEETS IF NECESSARY)		ATTY. DOCKET NO. TWAR.001A	APPLICATION NO. 10/722,206
		APPLICANT Patrick Ladd, et al.	
		FILING DATE November 24, 2003	GROUP 2184

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
1	3,999,051	12/21/76	Petschauer			
2	4,339,657	07/13/82	Larson, et al.			
3	4,604,751	08/05/86	Aichelmann, Jr., et al.			
4	5,121,475	06/09/92	Child, et al.			
5	5,155,731	10/13/92	Yamaguchi			
6	5,245,615	09/14/93	Treu			
7	5,463,768	10/31/95	Cuddihy, et al.			
8	5,790,779	08/04/98	Ben-Natan, et al.			
9	5,862,316	01/19/99	Hagersten, et al.			
10	6,381,710	04/30/02	Kim			
11	6,505,298	01/07/03	Cerbini, et al.			
12	6,532,552	03/11/03	Benignus, et al.			
13	6,790,779	06/15/04	Sandberg			
14	2001/0007138	07/05/01	Iida, et al.			
15	2002/0083214	06/27/02	Heisig, et al.			
16	2002/0144193	10/03/02	Hicks, et al.			
17	2003/0041291	02/27/03	Hashem, et al.			
18	2003/0056155	03/20/03	Austen, et al.			
19	2003/0105995	06/05/03	Schroath, et al.			
20	2003/0140285	07/24/03	Wilkie			
21	2004/0186603	09/23/04	Sanford			
22	2004/0205339	10/14/04	Medin			
23	2004/0261116	12/23/04	McKeown			
24	2005/0021766	01/27/05	McKeown			
25	2005/0027851	02/03/05	McKeown			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. TWAR.001A	APPLICATION NO. 10/722,206
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Patrick Ladd, et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE November 24, 2003	GROUP 2184

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
26	Scientific Atlanta: Rosa Network Management System and Element Management web pages. 10 pages; © Scientific Atlanta 2006; <a href="http://www.scientificatlanta.com">www.scientificatlanta.com</a> .	
27	Scientific Atlanta: "Take Control and Thrive" - Recognize, Trouble-Shoot, Correct information sheet; 1 page; no date; <a href="http://www.scientificatlanta.com">www.scientificatlanta.com</a> .	
28	Motorola - HFC Manager – Integrated Element Management System – "Motorola's HFC Manager provides complete FCAPS functionality for headend and outside plant equipment" information sheets; 4 pages; © Motorola, Inc. 2003; <a href="http://www.motorola.com/broadband">www.motorola.com/broadband</a> .	
29	Motorola - HFC Manager - HFC Element Management System information sheet; 1 page; © Motorola, Inc. 2004; <a href="http://www.motorola.com/broadband">www.motorola.com/broadband</a> .	
30	Motorola - Control Management Module (CMM 2000) information sheets; 4 pages; © Motorola, Inc. 2001; <a href="http://www.motorola.com/broadband">www.motorola.com/broadband</a> .	

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	